

**IV. Amendment to the Drawings:**

The attached sheet of drawings includes changes to Figure 5. In revised Figure 5, the text inside block number 575 has been changed to “Investment account provider issues mutual fund statement.” This conforms block 575 to the specification description at page 20, lines 5-6. No new matter is presented by this amendment to the specification. Both the replacement sheet for Figure 5 and the marked-up sheet are attached.